

Response To Notice To File Missing Parts Of Application  
Filing Date Granted (PTO-1533)(Large Entity)Docket No.  
112857-302

APR

2 2002

In Re Application Of: Kiyoshi Yamaura et al.

Serial No.	Filing Date	Examiner	Group Art Unit
09/967,310	September 28, 2001	Unknown	2900

Invention: ELECTRO-CHEMICAL DEVICE AND METHOD FOR PREPARATION THEREOF

TO THE ASSISTANT COMMISSIONER FOR PATENTS:Box Missing Parts

This is a response to the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533) mailed on  
October 25, 2001  
*Date*

Enclosed herewith for filing are the following:

- A copy of the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533). (REQUIRED)
- An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date.
- A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date.
- An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date.
- A verified English translation of the non-English language application papers as originally filed. It is requested that this translation be used as the copy for examination purposes in the United States Patent and Trademark Office.
- Other (list):

Check in the amount of \$130.00 and Return Receipt Post Card

**Response To Notice To File Missing Parts Of Application  
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**TO THE ASSISTANT COMMISSIONER FOR PATENTS:**

**Box Missing Parts**

Completion of application fees as calculated below:

Utility application filing fee \_\_\_\_\_

Design application filing fee \_\_\_\_\_

Total number of independent claims = \_\_\_\_\_

Total number of claims = \_\_\_\_\_

Multiple dependent claims \_\_\_\_\_

Surcharge for late payment of filing fee and/or late filing of original declaration or oath \$130.00

Petition and fee for filing by other than all the inventors or a person not the inventor \_\_\_\_\_

Fee for processing an application filed with a non-English language specification \_\_\_\_\_

Fee for processing and retention of application \_\_\_\_\_

Total completion of application fees \$130.00

This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a response to the above-identified Notice to File Missing Parts of Application. The requested extension is as follows (check time period desired). If an additional time extension is required, please consider this a petition therefor.

One month       Two months       Three months       Four months       Five months

from: \_\_\_\_\_ until: \_\_\_\_\_

Total time extension fees \_\_\_\_\_

Total fees due \$130.00

**Response To Notice To File Missing Parts Of Application  
Filing Date Granted (PTO-1533) (Large Entity)**

Docket No.  
112857-302

In Re Application Of: Kiyoshi Yamaura et al.

Serial No.  
09/967,310

Filing Date  
September 28, 2001

Examiner  
Unknown

Group Art Unit  
2900

Invention: ELECTRO-CHEMICAL DEVICE AND METHOD FOR PREPARATION THEREOF

**TO THE ASSISTANT COMMISSIONER FOR PATENTS:**

Box Missing Parts

The fee of \$130.00 is to be paid as follows:

- A check in the amount of the fee is enclosed.
- The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account No. 02-1818
- A duplicate copy of this sheet is enclosed.
- If an additional extension of time is required, please consider this a petition therefor and charge any additional fees which may be required to Deposit Account No.
- A duplicate copy of this sheet is enclosed.



Signature

Dated: December 14, 2001

William E. Vaughn (Reg. No. 39,056)  
Bell, Boyd & Lloyd LLC  
P.O. Box 1135  
Chicago, Illinois 60690

I certify that this document and fee is being deposited on 12/14/01 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231

*Signature of Person Mailing Correspondence*

Robert Buccieri

*Typed or Printed Name of Person Mailing Correspondence*

CC:



## UNITED STATES PATENT AND TRADEMARK OFFICE

APR 02 2002  
 COMMISSIONER FOR PATENTS  
 UNITED STATES PATENT AND TRADEMARK OFFICE  
 WASHINGTON, D.C. 20231  
[www.uspto.gov](http://www.uspto.gov)

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/967,310	09/28/2001	Kiyoshi Yamaura	112857-302

CONFIRMATION NO. 5205

## FORMALITIES LETTER



'0C000000006970326'

Bell, Boyd & Lloyd LLC  
 P.O. Box 1135  
 Chicago, IL 60690

COPY OF PAPERS  
 ORIGINALLY FILED

01/16/02

Date Mailed: 10/25/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

## FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

*A copy of this notice MUST be returned with the reply.*

Customer Service Center  
 Initial Patent Examination Division (703) 308-1202  
 PART 2 - COPY TO BE RETURNED WITH RESPONSE

Page 1 of 1

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## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
 United States Patent and Trademark Office  
 Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
 Washington, D.C. 20251  
[www.uspto.gov](http://www.uspto.gov)

Application Number	Filing Date	First Named Applicant	Atty. Docket No.
09/967,310	09/28/2001	Yamaura, Kiyoshi	112857-302

29175  
 BELL, BOYD & LLOYD, LLC  
 P. O. BOX 1135  
 CHICAGO IL 60690-1135

RACEWAY  
 BELL, BOYD & LLOYD  
 INTELLECTUAL PROPERTY DOCKET

MAR 26 2002 TK

ATTY WENITCB

Title: Electrochemical device and method for preparation thereof DOCKET # 112857-302

Date Mailed: 03/18/2002

DUE: 4-9-02

## Request for Substitute Papers

The papers filed on 01/16/02 are no longer in condition to become part of the permanent records of the United States Patent and Trademark Office (USPTO) for this application (37 CFR 1.52(a)) due to the United States Postal Service sanitization process.

The USPTO requests that applicant provide a copy of the above-identified papers (except for any U.S. or foreign patent documents submitted with the above-identified papers) with a statement that such copy is a complete and accurate copy of the above-identified papers (signing and returning a copy of this notice will provide such a statement). The reply to this letter should be submitted to the USPTO by facsimile at the number indicated 703-746-4060 (OIPE).

Alternatively, the reply to this letter may be hand-carried to the Customer Service Window located in Room 1B03 of Crystal Plaza Building 2, Arlington, Virginia, 22202.

The USPTO strongly prefers that the reply to this letter be submitted by facsimile. However, if applicant cannot submit the reply to this letter by facsimile (or hand-delivery), the reply may be mailed to: Box Duplicate OIPE, U.S. Patent and Trademark Office, P.O. Box 2327, Arlington, VA 22202-2327.

This letter is not a notice under 37 CFR 1.251. However, failure to timely reply to this notice within **two (2) weeks** of the date of receipt of this letter may result in the USPTO issuing a notice under 37 CFR 1.251. A copy of this notice should be included with the reply.

The enclosed papers are a complete and accurate copy of the above-identified papers.

Name: Thomas C. Basso Registration No: 46,541

Signature: T. C. Basso Date: 9/3/02

0490

0490 0410

04/03/02 13:52 FAX

BELL BOYD & LOYD LLC

001

# BELL, BOYD & LLOYD LLC

THREE FIRST NATIONAL PLAZA  
70 WEST MADISON STREET  
SUITE 3300  
CHICAGO, ILLINOIS 60602-4207

312 372-1121  
312 372-2098

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APR 02 2002

## FAX COVER SHEET

Date April 3, 2002

Total Number of Pages 18 (Including this page)

To OIPE

Company USPTO

City Washington, D.C.

Fax Number 1-703-746-4060

Phone Number

From Thomas C. Basso

Direct Dial Phone (312) 807-4310

Client/Matter Number 112857-302

Document Description

Message

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If this transmission is incomplete, please call 312 558-7395.

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CIPR  
APR 02 2002

In re Patent Application of: Kiyoshi Yamaura, et al.  
**ELECTRO-CHEMICAL DEVICE AND METHOD  
FOR PREPARATION THEREOF**  
Docket No.: 0112857-302

On the date stamped hereon the U.S. Patent and Trademark Office  
hereby acknowledges receipt of the following:

1. Response to Notice to File Missing Parts;
2. Copy of Missing Parts;
3. Check in the amount of \$130.00;
4. Signed Declaration (on 4 pages) and
5. A postcard which we ask you to date stamp and return.

Date Mailed: December 14, 2001

SECURITY ENHANCED DOCUMENT. SEE BACK FOR DETAILS.

**BELL BOYD & LLOYD LLC**  
PATENTS & TRADEMARKS ACCOUNT  
THREE FIRST NATIONAL PLAZA  
70 W. MADISON ST., STE. 3200 PH. 312-372-1121  
CHICAGO, IL 60602

10573

2-50/710

DATE 12/14/01

TO THE "The Honorable Commissioner of Patents and Trademarks" \$ 130.00

One Hundred Thirty and no/100

DOLLARS 

LaSalle Bank N.A.  
Chicago, Illinois 60603



112857-302 WEV Missing Parts

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